



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION EXAMINING OPERATIONS

Applicant : M. Ibrahim Sezan Group Art Unit: 2174
Serial No. : 09/804,612 Examiner : Truc T. Chuong
Filed : March 12, 2001 Our Docket : 7146.0115
Title : AUDIOVISUAL INFORMATION MANAGEMENT SYSTEM

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
IN ACCORDANCE WITH 37 CFR §1.98

Chernoff Vilhauer McClung & Stenzel LLP
1600 ODS Tower
601 S.W. Second Avenue
Portland, Oregon 97204-3157

April 12, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicants submit herewith copies of publications of which they are aware and which they desire to have considered by the Patent Office in accordance with 37 CFR §1.97. In accordance with 37 CFR §1.97(b)(3), this Information Disclosure Statement is being submitted after the mailing date of a first Office Action on the merits of the above identified application. Accordingly, we enclose the required fee of \$180.

04/14/2005 RFEKADU1 00000006 09804612

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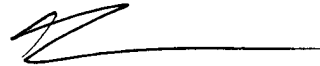
180.00 DP

In accordance with 37 CFR §1.97(h), the filing of this Information Disclosure Statement will not be regarded as an admission that any patent or publication or combination of patents referred to herein is, or is considered to be, material to patentability under 37 CFR §1.56(b) unless specifically designated as such.

A list of the patents and publications enclosed herewith is set forth on the attached. Only foreign patents and nonpatent art are included with this disclosure statement.

The person making this statement is the attorney who signs below on the basis of the information supplied by the inventor and the information in his file.

Respectfully submitted,



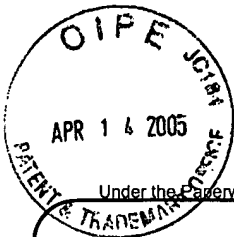
Kevin L. Russell
Attorney for Applicant
Tel: (503) 227-5631

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313.

Dated: April 12, 2005


George Painter



PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

7

Application Number

09/804,612

Filing Date

March 12, 2001

First Named Inventor

M. Ibrahim Sezan

Art Unit

2174

Examiner Name

Truc T. Chuong

Attorney Docket Number

7146.0115

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	IDS by Applicant (2 pgs, in duplicate);
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	Foreign and Non-Patent docs attached;
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	Check for \$180; and
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	Postcard.
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

Remarks

To avoid any delays in filing the enclosed, the Commissioner is authorized to charge payment to any required fees not included herewith, or credit any overpayment related thereto, to Deposit Account No. 03-1550. A duplicate copy of this transmittal is enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Chernoff Vilhauer McClung & Stenzel LLP		
Signature			
Printed name	Kevin L. Russell		
Date	April 12, 2005	Reg. No.	38,292

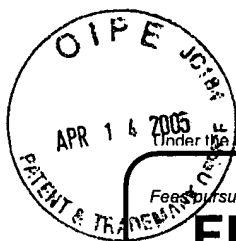
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	George Painter	Date	April 12, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180.00**Complete if Known**

Application Number	09/804,612
Filing Date	March 12, 2001
First Named Inventor	M. Ibrahim SEZAN
Examiner Name	2174
Art Unit	Truc T. Chuong
Attorney Docket No.	7146.0115

METHOD OF PAYMENT (check all that apply)☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 03-1550 Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below☐ Charge fee(s) indicated below, **except for the filing fee**☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17☒ Credit any overpayments**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**FEE CALCULATION****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
50	25
200	100
360	180

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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_____ - 20 or HP = _____ x _____ = _____

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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_____ - 3 or HP = _____ x _____ = _____

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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_____ - 100 = _____ / 50 = _____ (round up to a whole number) x _____ = _____

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): IDS after OA**Fees Paid (\$)**

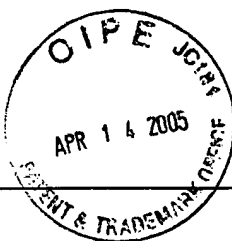
180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 38,292	Telephone (503) 227-5631
Name (Print/Type)	Kevin L. Russell		Date April 12, 2005

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	09/804,612
Filing Date	March 12, 2001
First Named Inventor	M. Ibrahim Sezan
Art Unit	2174
Examiner Name	Truc T. Chuong
Attorney Docket Number	KLR7146.0115/SLA0141.4

Sheet

1

of

3

U. S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear
		US-5,444,499	08/22/1995	Saitoh	
		US-5,483,278	01/09/1996	Strubbe et al.	
		US-5,550,965	08/27/1996	Gabbe et al.	
		US-5,727,129	03/10/1998	Barrett et al.	
		US-5,758,259	05/26/1998	Lawler	
		US-5,835,087	11/10/1998	Herz et al.	
		US-5,848,396	12/08/1998	Gerace	
		US-5,867,226	02/02/1999	Wehmeyer et al.	
		US-5,878,222	03/02/1999	Harrison	
		US-5,945,988	08/31/1999	Williams et al.	
		US-5,977,964	11/02/1999	Williams et al.	
		US-6,049,821	04/11/2000	Therault et al.	
		US-6,088,722	07/11/2000	Herz et al.	
		US-6,133,909	10/17/2000	Schein et al.	
		US-6,185,625	02/06/2001	Tso et al.	
		US-6,198,767	03/06/2001	Greenfield et al.	
		US-6,298,482	10/02/2001	Seidman et al.	
		US-6,317,718	11/13/2001	Fano	
		US-6,412,008	06/25/2002	Fields et al.	
		US-6,426,761	07/30/2002	Kanevsky et al.	
		US-6,426,974	07/30/2002	Takahashi et al.	
		US-6,487,390	11/26/2002	Virine et al.	
		US-6,543,053	04/01/2003	Li et al.	
		US-6,594,699	07/15/2003	Sahai et al.	
		US-6,593,936	07/15/2003	Huang et al.	
		US-6,611,876	08/26/2003	Barrett et al.	

Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant, Passages or Relevant Figures Appear	T ⁶
		WO 99/04143	01/28/1999	International		<input type="checkbox"/>
		WO 99/12194	03/11/1999	International		<input type="checkbox"/>

Examiner Signature	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known	
		Application Number	09/804,612
		Filing Date	March 12, 2001
		First Named Inventor	M. Ibrahim SEZAN
		Art Unit	
		Examiner Name	
Sheet 2	of 3	Attorney Docket Number	7146.0115

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	Composite Capability/Preference Profiles (CC/PP): A User Side Framework for Content Negotiation, www.3org/1999/07/NOTE-CCP-19990727, 7/27/1999.	
	CB	"A Proposal for User Preference Descriptions for MPEG-7," ISO/IEC/JTC1/SC29/WG11, M5222, October 1999.	
	CC	"A Proposal for User Preference Descriptions for MPEG-7," ISO/IEC/JTC1/SC29/WG11, M5222, October 1999; updated 10/14/99 and 10/29/99.	
	CD	Ted Lewis, "UbiNet: The Ubiquitous Internet Will Be Wireless," IEEE Computer Magazine, October 1999	
	CE	"MPEG-7 Generic AV Description Schemes (V 7.0)," Summary Description Scheme, ISO/IEC/JTC1/SC29/WG11, N2966, October 1999.	
	CF	"MPEG-7 Description Definition Language (Document V 2)," ISO/IEC/JTC1/SC29/WG11, N2997, October 1999.	
	CG	"MPEG-7 Requirements Document (V 9)," ISO/IEC/JTC1/SC29/WG11, N2859, July 1999.	
	CH	"MPEG-7 Requirements for Description of Users," ISO/IEC/JTC1/SC29/WG11, M4601, March 1999.	
	CI	"MPEG-7 Media/Meta DSs Upgrade (V 2)," ISO/IEC/JTC1/SC29/WG11, August 1999.	
	CJ	"MPEG-7 Description Schemes (V 0.5)," ISO/IEC/JTC1/SC29/WG11, N2844, July 1999.	

Examiner Signature		Date Considered	
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete if Known			
		Application Number	09/804,612		
		Filing Date	March 12, 2001		
		First Named Inventor	M. Ibrahim SEZAN		
		Art Unit			
		Examiner Name			
Sheet	3	of	3	Attorney Docket Number	7146.0115

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CK	XML Schema, Part 1: Structures, W3C Working Draft, 6 May 1999, www.3org/1999/05/06-xmlschema-1/	
	CL	"Specification of the Usage History DS," ISO/IEC/JTC1/SC29/WG11, M5748, March 2000.	
	CM	"Proposal of Usage History DS," ISO/IEC/JTC1/SC29/WG11, M6259, July 2000.	
	CN	Customer Profile Exchange Specification, V. 1.0, October 2000.	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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